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PTO

1321 U.S.P.T.O.

PTO/SB/05 (03-01)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 7893-4000 Total Pages 26

First Named Inventor or Application Identifier

Vijay Kumar HANDA et al.

Express Mail Label No.

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10/735892

121603  
33**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

03970

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status <i>See 37 CFR 1.27.</i>	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification Total Pages 24 <i>(preferred arrangement set forth below)</i> -Descriptive title of the Invention -Cross Reference to Related Applications -Statement Regarding Fed Sponsored R&D -Reference to Sequence Listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 2	b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper
5. Oath or Declaration Total Pages _____	c. <input type="checkbox"/> Statements verifying identity of above copies.
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:	13. <input type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no. _____ filed _____.	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
Prior Application Information: Examiner: _____ Group Art Unit: _____	15. <input type="checkbox"/> Certified copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.	16. <input type="checkbox"/> Non-publication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
	17. <input type="checkbox"/> Other

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  
 Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Non-publication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17.  Other

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code

**CUSTOMER NO. 28765**

NAME			
ADDRESS			
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Name (Print/Type) Rodney J. Fuller (Reg. No. 46,714) for Allan A. Fanucci (Reg. No. 30,256)

Signature

*Rodney J. Fuller*

Date

12/16/03

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney Docket No.: 7893-4000

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **Vijay Kumar HANDA** Executed on: **December 12, 2003**  
**Arun Kumar GUPTA** Executed on: **December 12, 2003**  
**Meenakshisunderam SIVAKUMARAN** Executed on: **December 12, 2003**

Title of the Invention: **A PROCESS FOR PREPARING FLORFENICOL**

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	44	-20	24	\$18.00	\$ 432.00
Independent	5	-3	2	\$86.00	\$ 172.00
			Minimum Fee		\$ 770.00
			Multiple Dependency Fee If Applicable (\$290.00)		\$ 0.00
				<b>Total</b>	\$ 1,374.00
			50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)		-\$ 0.00
				<b>TOTAL FILING FEE</b>	<b>\$ 1,374.00</b>

**DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).

Priority of Indian application no. 806/CHE/2003 filed October 6, 2003 is claimed under 35 U.S.C. § 119.

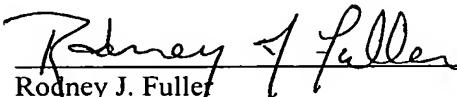
The certified priority document has been filed in application no. \* filed \*.

Please charge the required fees to Winston & Strawn LLP Deposit Account No. 50-1814. A copy of this sheet is attached.

Respectfully submitted,

Date

12/16/03

  
\_\_\_\_\_  
Rodney J. Fuller  
For: Allan A. Fanucci  
(Reg. No. 46,714)  
(Reg. No. 30,256)

WINSTON & STRAWN LLP  
Customer No. 28765

202-371-5904